

PART B - FEE(S) TRANSMITTAL

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P.O. BOX 2417

511 SOUTH MADISON STREET

BLOOMINGTON, IN 47402-2417

02/17/2009 HVUONG2 00000082 031129 10771874

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Laura K. Durkee

(Depositor's name)

Laura K. Durkee

(Signature)

February 16, 2009

(Date)

APPLICATION NO.	FILING DATE	FIRST NAMED INVENTOR	ATTORNEY DOCKET NO.	CONFIRMATION NO.
10771874	02/04/2004	Goudarz-Haji	03-560	3743

TITLE OF INVENTION: VARIABLE FLOW RATE VALVE AND METHOD OF REDUCING WEAR ON SAME

APPLN. TYPE	SMALL ENTITY	ISSUE FEE DUE	PUBLICATION FEE DUE	PREV. PAID ISSUE FEE	TOTAL FEE(S) DUE	DATE DUE
nonprovisional	NO	\$1510	\$300	\$0	\$1810	02/17/2009

EXAMINER	ART UNIT	CLASS-SUBCLASS
KIM, CHRISTOPHER S	3752	239-088000

1. Change of correspondence address or indication of "Fee Address" (37 CFR 1.363).

- ☐ Change of correspondence address (or Change of Correspondence Address form PTO/SB/122) attached.
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2. For printing on the patent front page, list

- (1) the names of up to 3 registered patent attorneys or agents OR, alternatively,
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Liell & McNeil

3. ASSIGNEE NAME AND RESIDENCE DATA TO BE PRINTED ON THE PATENT (print or type)

PLEASE NOTE: Unless an assignee is identified below, no assignee data will appear on the patent. If an assignee is identified below, the document has been filed for recordation as set forth in 37 CFR 3.11. Completion of this form is NOT a substitute for filing an assignment.

(A) NAME OF ASSIGNEE

Caterpillar Inc.

(B) RESIDENCE: (CITY and STATE OR COUNTRY)

Peoria, IL

Please check the appropriate assignee category or categories (will not be printed on the patent): ☐ Individual ☒ Corporation or other private group entity ☐ Government

4a. The following fee(s) are submitted:

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☒ The Director is hereby authorized to charge the required fee(s), any deficiency, or credit any overpayment, to Deposit Account Number 03-1129 (enclose an extra copy of this form).

5. Change in Entity Status (from status indicated above)

- ☐ a. Applicant claims SMALL ENTITY status. See 37 CFR 1.27. ☐ b. Applicant is no longer claiming SMALL ENTITY status. See 37 CFR 1.27(g)(2).

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Authorized Signature

Steve D. Lundquist

Date

Feb. 16, 2009

Typed or printed name Steve D. Lundquist

Registration No. 42,816

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